# Short-Duration Intensive Training in Research Ethics for Social Science and Public Health Research Organisers

Forum for Medical Ethics Society (FMES), Mumbai; FMES' Health, Ethics, and Law Institute for Training, Research and Advocacy

in collaboration with

Nabakrushna Choudhury Centre for Development Studies (NCDS), Bhubaneshwar, Odisha

**Dates:** Wednesday, June 26 to Sunday, June 30, 2019 | 0930 - 1730 **Venue:** Conference Hall, Nabakrushna Choudhury Centre for Development Studies, Bhubaneshwar

#### **About the course**

The course curriculum has a legacy of 15 years to which a number of scholars including those trained in bioethics have contributed. Over time they developed research ethics case studies from the Indian context. These serve as one of the key resources for the course.

The course aims to equip course participants with knowledge and skills in research ethics. They would serve as significant human resource to take the learnings to their own ecosystems and facilitate knowledge transfer in research ethics.

**Goal:** To enable participants to appreciate the salience of research ethics in upholding research integrity; and identify and apply ethical reasoning to social science research in health and to public health research.

#### **Specific objectives:**

- 1. To learn about history and origins of research ethics discourse and principles in research ethics in social sciences and public health research and their relevance to contemporary research in social sciences and public health.
- 2. To strengthen the awareness of participants of ethical issues in research involving human participants, and their appreciation of the need for research integrity
- 3. To draw upon the key resources in international ethics guidance such as the Tri-Council Policy Statement, Canada; the Helsinki Declaration, and other ethics guidelines issued by both national and international organizations, pertaining to the social sciences and public health fields; and help locate them in local contexts.
- 4. To strengthen the understanding of specific concepts in research ethics (privacy, confidentiality, standard of care, informed consent process, collaborative research, publication ethics, research integrity,); and appreciate research ethics challenges specific to research design, special groups with enhanced vulnerabilities; situational vulnerabilities in the context of research in social sciences (in health) & public health sciences.
- 5. To learn the application of ethical reasoning to respond to the aforesaid challenges posed by social science (in health) and public health research; and enhancing skills in operationalizing research ethics principles in practice.

# **Course Faculty:**

1. Dr Amar Jesani, MBBS, Independent Researchers and Consultant, Pubic Health and Bioethics, Mumbai; and Editor, Indian Journal of Medical Ethics (IJME)

- 2. Prof Dr Srijit Mishra, PhD, Development Economist; Director, Nabakrushna Centre for Development Studies (ICSSR Research Centre), Bhubaneshwar [Course Director]
- 3. Dr Sunita Sheel Bandewar, PhD (Anthropology) and Masters in Bioethics (Toronto, Canada), Independent Researcher, Pune; Working Editor, IJME; and Director, Health, Ethics and Law Institute of Training, Research and Advocacy, Mumbai-Pune [Course Director]
- 4. Prof Mala Ramanathan, PhD, Masters in Medical Anthropology, Bioethics (Harvard), AMCHSS, SCTIMST, Trivandrum; Working Editor, IJME; Member Secretary, IEC

#### **Learning methods:**

- 1. Interactive methods involving small batches case studies, short videos; role play; and mock ethics review board meetings.
- 2. Case studies sourced and developed from within India to be complemented by those involved in international collaborative research.
- 3. Mock ethics review boards consisting of the training program participants conducting a mock ERB meeting to evaluate 2-3 anonymised research ethics protocols.

# **Short-Duration Intensive Training Program in**

### Research Ethics for Social Science and Public Health Research

Wednesday, June 26 to Sunday, June 30, 2019

# **Programme Schedule**

	DAY 1  Wed, June 26, 2019				
Unit 1 Soc	Unit 1 Social Sciences and Public Health Research ethics: History, Theoretical				
		ches, and Eth	-		
Hours &	Topic	Faculty	Learning Objectives		
Session no					
0945-1000	Registration and Refresh	nments			
1000-1015	Welcome &	Directors, NCD	S and HEaL Institute		
	Inauguration				
1015 -1030	Course overview and	HEaL Institute	Colleagues		
	introduction to course				
	objectives; and Round				
	of Introduction;,				
Session 1	Importance of Ethics	Amar Jesani	Film Screening		
1030 -	in Human Health		➤ What is research?		
1200	Research		➤ What are social sciences and their histories?		
-0	<i>O.</i> .		<ul> <li>History of ethics violations/challenges &amp; Learnings</li> </ul>		
Session 2	Morality, ethics, human	Sunita Sheel	<ul><li>To learn about the concept of ethics,</li></ul>		
1200-1330	rights and law:	OR Srijit	facts, value, duties, morality,		
1200-1330	Challenges posed in	Mishra	<ul><li>To recognize the relationship between</li></ul>		
	research across	Wiisina	ethics and law;		
	disciplines		<ul><li>Ethics and various disciplines - Basic</li></ul>		
			Sciences, Medical or clinical Sciences,		
			Social Sciences, Public health		
1330-1415	LUNCH				
Session 3	Bioethics: Theoretical	Srijit Mishra &	► Theories: Deontology/Kant; Utilitarianism		
1415-1545	approaches and	Sunita Sheel	/Consequentialism, Virtue, Principlism		
1+13-1343	approaches and	Suilla Sileel	/Consequentiansin, virtue, i interprisin		

DAY 2  Thursday, June 27, 2019			
1730 END OF THE DAY 1			
1600-1730	Positivist and non- positivist approaches; cultural and moral relativism vs universalism	Ramanathan & Srijit Mishra	<ul> <li>in positivist and non-positivist approaches to research in social sciences</li> <li>To describe relativist approaches vs universalist approaches to resolving ethical dilemmas in research</li> <li>Case examples embedded in the presentation</li> </ul>
1545-1600 Session 4	Social sciences:	Mala	TEA  > To identify the potential ethical challenges
	perspectives		<ul> <li>Perspectives: Gender, marginalisation/vulnerability, care, culture/religion</li> <li>Case examples embedded in the presentation</li> </ul>

	DAY 2  Thursday, June 27, 2019					
	Unit 2  Translating Research Ethics Principles into practice					
Hours &	Topic	Faculty	Learning Objectives			
Session no						
0930-1000	RECAP of Day 1	Participants and	All faculty to respond to questions			
	Resolving					
	Queries		100			
Session 5	Informed consent	Sunita Sheel	Film Screening			
1000-1130	(IC)		To learn the foundation of the principle of autonomy and IC			
			To learn various components of IC process –			
			voluntariness, information, comprehension,			
			documentation			
		ν.ο.Χ	To recognize process of consent taking and			
		19.	its salience			
			> To learn IC in various situations, and			
		(3)	permissibility of IC waivers			
		0	> To know guidelines and laws; and specific			
			challenges			
			Group work for case studies or case study based discussions in plenary			
1130-1200			TEA			
Session 6	Privacy and	Mala	Film screening			
1200-1330	confidentiality	Ramanathan	To recognise the need for privacy and			
1200 1000	W		confidentiality in a research process			
	101		To identify the challenges to privacy and			
			confidentiality in both qualitative and			
دلا			quantitative research and			
03			To suggest some examples of good practices to meet such challenges to privacy and			
			confidentiality in research			
			Group work for case studies or case study based			
			discussions in plenary			
1330-1415			LUNCH			
Session 7	Risks and Benefits	Amar Jesani	> Ethics principles and risk/benefits			
1415-1545	assessment of		➤ Various types of risks – frequency of their			
	research		occurrence and magnitude			
			<ul><li>Risk benefit analysis</li><li>Case examples embedded in the presentation</li></ul>			
			Case examples embedded in the presentation			

1545-1600	TEA		
Session 8 1600-1730	Justice and Equity in Research	Srijit Mishra	<ul> <li>To learn and exploring ethics principle of justice; its importance in conceptualising research and in its conduct</li> <li>Research participant selection</li> <li>Vulnerability and fairness</li> </ul>
			<ul> <li>Right to post-research benefit</li> <li>Case examples embedded in the presentation</li> </ul>
1730-1800	FACULTY MEETING		
1800	END OF THE DAY 1		

	DAY 3  Friday, June 28, 2019				
Unit 3 Res	Unit 3 Research ethics obligations and issues in relation to research designs and				
		research			
Hours &	Topic	Faculty	Learning Objectives		
Session no					
0930-1000	RECAP of Day 2 Resolving Queries	Participants a	nd All faculty to respond to questions		
<b>Session 9</b> 1000-1130	Ethical challenges in quantitative research methods	Srijit Mishra Mala Ramanathan	<ul> <li>To recognise the ethical challenges posed by the application of methodological requirements of quantitative research in social science</li> <li>To suggest some possible ways to mitigate against the ethical challenges</li> <li>Case examples embedded in the presentation</li> </ul>		
1130-1200			TEA		
Session 10 1200-1330	Ethical challenges in qualitative research methods	Mala Ramanathan	<ul> <li>To recognise the ethical challenges posed by the nature of qualitative research in social science</li> <li>To suggest some possible ways to mitigate against the ethical challenges</li> <li>Case examples embedded in the presentation</li> </ul>		
1330-1415		I	LUNCH		
Session 11 1415-1545	Ethical challenges in Action and Participatory social science action research designs	Sunita Sheel	<ul> <li>To learn about action and participatory action research; and Monitoring and evaluation</li> <li>To identify and discuss specific ethical challenges in each design</li> <li>To suggest some possible ways to mitigate against the ethical challenges</li> <li>Group work for case studies or case study based discussions in plenary</li> </ul>		
1545-1600			TEA		
<b>Session 12</b> 1600-1730	Ethical challenges in complex intervention – experimental research designs (Cluster randomised trials)	Mala Ramanathan And Srijit Misra /Sunita Sheel	<ul> <li>To learn about experimental and quasi experimental social science and public health research designs and longitudinal studies</li> <li>To learn about population-based Cluster Randomised Trials</li> <li>To recognize and discuss ethical challenges</li> </ul>		

	Group work for case studies or case study based discussions in plenary
17:30	END OF THE DAY 3

	DAY 4  Sa	aturday, J	une 29, 2019
	Unit 4  Res	search Ethio	cs Governance
Hours &	Topic	Faculty	Learning Objectives
Session no	DEGLE CE	<b>D</b>	
0930-1000	RECAP of Day 3 Resolving Queries	_	nd All faculty to respond to questions
Session 13 1000-1130	Research Ethics Committees (REC)	Amar Jesani	<ul> <li>To recognize ethics guidelines and need for governance of research</li> <li>To recognize REC as a form of governance mechanism</li> <li>To learn about composition and structure of ethics review committees;</li> <li>To learn about their mandates, roles and responsibilities</li> <li>To know ethics review processes</li> <li>To Standard Operating Procedures of the REC and functions</li> <li>To recognize challenges faced by the REC</li> </ul>
1130-1200			TEA
Session 14 1200-1330	Ethics of collaborative research	Sunita Sheel	<ul> <li>To learn the salience of collaborative research in the contemporary context of global health research</li> <li>To recognize research ethics principles which inform collaborative research ethics discourse</li> <li>To learn about approaches to conceptualize and implement collaborative research in alignment with research ethics principles and obligations</li> </ul>
1330-1415		I	LUNCH
Session 15 1415-1545	Data sharing	Sunita Sheel	<ul> <li>To learn about the concept of data sharing and underlying ethics principles</li> <li>To learn about data transparency, including the public archiving of data for reanalysis by others from the peer community</li> <li>To learn about the risks involved in data sharing</li> </ul>
1545-1600			TEA
Unit	5 Research integrity, re	eporting of	research and publication ethics
Session 16 1600-1700	Conflict of interest (CoI)	Amar Jesani	<ul> <li>What is Conflict of Interest?</li> <li>What are the types of conflict of interest?</li> <li>CoI of researchers, gatekeepers, institutions, RECs, etc</li> <li>Management of conflict of interest: disclosure requirements, recusing from position of decision making</li> <li>CoI and corruption</li> </ul>

1700-1730	Preliminary discussion on protocols for review, including queries	Amar Jesani Mala Ramanathan	Formation of ERB committees comprising of course participants (three to four groups)  For the faculty to form the ethics committees comprising of the course participants  To know the process of reviewing the study protocols assigned to the groups  To know the process of documenting deliberations and arriving at the decision on the research protocol
17:30		END OF	THE DAY 4

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	Day 5  Sunday, June 30, 2019					
( Contd)	( Contd)Unit 5 Research integrity, reporting of research and publication ethics					
Hours &	Topic	Faculty	Learning Objectives			
Session no	_					
0930-1000	RECAP of Day 3	Participants a	nd All faculty to respond to questions			
	Resolving Queries	_				
Session 13	Integrity in research:	Amar Jesani	Film screening			
1000-1130	Research misconduct,	and Srijit	➤ To learn the concept of research			
	authorship credits	Mishra	integrity			
			To learn the relationship between research			
			and society's trust			
		X	To recognize various types of research			
		OV	misconduct: Plagiarism, fabrication,			
		1,0.	falsification, violations of participants'			
			rights To learn shout publication othics . Bights			
	C		To learn about publication ethics: Rights and obligations to publish, authorship credit			
		3	and authorship sequence, and ghost			
	, , , ,		authorship			
1130-1145			TEA			
Session 14	Reporting guidelines	Sunita Sheel	➤ To learn various standards for reporting of			
1145-1215	1/102		the research findings			
	\K\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\		To recognize the significance of and			
0 1 1 7	W 1 EDD		critique of reporting guidelines			
Session 15	Mock ERB meetings		To learn the processes involved in serving on ERBs, responsibilities, and briefs of			
1215-1330	10,		ERB via mock ERB			
			To learn to deliberate research ethics issues			
95			in ERB meetings, to offer substantive			
~03			justifications either in support of an			
170			argument/point of view, or offer a counter			
			argument supported by substantive			
			argument			
			To learn the process of certifications and			
			notification to applicant research team			
			/researchers			
			To recognize needs for on-going monitoring of projects			
1330-1415		T	LUNCH			
1330-1415		1	LUNCII			

Session 15 1415-1545	ERB Meetings Review Outcomes Presentation	Course participants Moderated by Amar Jesani	<ul> <li>To learn to present the outcome of ERB review outcome and defend it.</li> <li>To recognize the roles and responsibilities of ERB of educating applicant in research ethics and serving as sounding board for the peer community to discuss research ethics issues going beyond ethics review in compliance with regulations</li> </ul>
1545-1600			TEA
<b>Session 16</b> 1600-1730	<ul> <li>Open and Written         Evaluation</li> <li>Valedictory</li> <li>Course certificate         distribution</li> </ul>		For course organizers to know the areas of improvement in the course content, learning methods, allocation of time and related matters.
17:30		F THE DAY 5	AND COURSE CLOSURE
Rese	Alch Ethics So	Scienti	SHedith Fillion